

Central Vigilance Commission

Minutes of the Annual Zonal Review Meeting with the CVOs held on 1st April 2009 at Kolkota.

The list of participants is at ***Annexure-A***.

CVC in the Chair. The meeting started with welcome address by the Chairman, CIL and introductory remarks by CVC and the Vigilance Commissioners. This was followed by presentations by CTEs on the inadequacies observed in the CTEs examination of the works and procurement contracts of the organizations present in the meeting. Copies of the presentation of CTEs were provided to the CVOs for reference during the meeting. During the presentation by participating organizations, the Commission intervened and made some observations. The observations needing follow up action are indicated in the minutes later.

2. Welcome Address:

Shri P. S Bhattacharyya, Chairman, CIL welcomed the Commission and the participating organizations. He referred to the role of Coal PSUs in the changing global scenario and emphasized on the checks and balances and important role played by CVC. He underlined the need for regular interaction by vigilance with management and stated that vigilance is an integral part of management.

3. Introductory Remarks:

3.1. CVC:

CVC requested the CVOs to stick to the sequence of the agenda items and underlined the following issues:

- i) CVOs did not take specific positions/clear decisions many a time when making recommendations on investigation reports;
- ii) References to the Commission need to be made along-with the tabular format prescribed;

- iii) Monthly reports continue to be sketchy and last part of the report i.e. point No. 12 requires greater attention on the part of the CVOs;
- iv) Audit reports both internal and external and observations contained therein need to be examined from the point of vigilance angle on a regular basis;
- v) Commission's instructions of leveraging technology especially Phase II had not been implemented fully by some organizations. CVC desired that the CVOs should ensure full implementation within a specified time frame in consultation with the Managements.

4. VC(S)

- i) At the outset, VC(S) emphasized that the quality of investigation reports needed attention and in many investigation reports received there is lack of specific evidences to establish lapses on the part of suspect officials. Proper analysis is necessary while fixing responsibilities ;

5. VC (R)

- i) VC(R) stated that CVOs in the Coal Sector should first acquaint themselves with the role and functions of Organisations;
- ii) Need for examination of existing guidelines and procedures with a view to update manuals;
- iii) She emphasized that CVOs should ensure the quality of investigation reports and specify clearly their recommendations;
- iv) A proper complaint handling policy should be in place in all Organisations. CVOs had to ensure that all complaints reach him;
- v) VC (R) advised that the monthly reports should be used as a tool for communication by the CVOs on initiatives taken by the CVO and the Organisation;
- vi) She also advised CVO, UBI to give attention to quick mortality accounts, audit reports etc.

6. Presentation by the participants:

6.1 Leveraging of Technology:

Most of the Organisations were well advanced in implementation and would have systems in place shortly. However, some, were lagging behind in second phase of implementation for online status of applications, which needed to be completed within a specified time frame.

6.2 Access of CVOs to complaints:

CVOs did not have access to all the complaints. It was advised that the CVOs should go through all the complaints to ensure that complaints with vigilance angle were attended to properly.

6.3 Structured meetings between CVOs and the CMD:

During last year's annual zonal meetings, the Commission has requested the CVOs to have monthly or quarterly structured meetings with their CMDs as per their requirement with a proper agenda and minutes of the meetings. It is observed that this was not being followed in some of the Organisations. The Commission directed the CVOs to immediately commence the practice and report compliance in the monthly reports, with a copy of the minutes of the meetings so held.

6.4 Agreed List:

Most of the Organisations have either prepared or are finalizing the current "Agreed List".

6.5 Pendency of First Stage, Second Stage advice, further reports etc.:

There was a need to reconcile the figures and CVOs should access the Commission's pending list in respect of their Organisations and regularly reconcile the figures.

6.6 Training and adequacy of vigilance staff:

Most of Organisations reported that the arrangements were adequate. However, new Vigilance officers inducted need to be sent to CBI Academy and other training centres.

7. **Action Points:**

i) Each Organisation should make CTE type inspections of major contracts/procurements and should send one/two such inspection report every year for information to Commission.

(Action: All CVOs)

ii) All Organisations should keep reporting in monthly reports on the progress made on implementation of second phase of leveraging technology. As regards, Eastern Coalfields Ltd, the Commission expressed its concern over the progress made till date and delay in implementation;

(Action: All CVOs)

iii) The Commission observed that the pending implementation data of cases of Ist/IIInd stage, complaints pending reports including PIDPI ones and further clarifications (FI) etc, as per Commission's database and the CVO's records varied to a large extent. All CVOs need to regularly reconcile the pendency with the Branch Officers concerned, so that the actual pendency is reflected.

(Action: All CVOs)

iv) As regards implementation of Integrity Pact in Coal Sector, out of 15 IEMs approved by the CVC, it was suggested that they need to be engaged taking into account the logistical convenience of the Coal PSUs;

(Action: All CVOs)

LIST OF PARTICIPANTS

Chief Vigilance Officers

1. Shri N R Banerjee, CVO, Coal India Ltd.
2. Shri N K Pradhan, CVO, Mahanadi Coalfields Ltd
3. Shri S K Choudhary, CVO, Eastern Coalfields Ltd.
4. Shri Alok Singh, CVO, Central Coalfields Ltd/BCCL
5. Shri A K Singh, CVO, Western Coalfields Ltd.
6. Shri K S Balasubramaniam, CVO, NLC.
7. Shri B Pradhan, CVO, Northern Coalfields Ltd.
8. Shri K Babu Rao, CVO, SECL
9. Shri Madhavan Shekhar, CVO, United Bank of India

Commission's officers

1. Shri K.S. Ramasubban, Secretary
2. Shri V.K. Gupta, Additional Secretary
3. Shri V. Ramachandran, CTE
4. Shri V.K. Gupta, CTE
5. Shri K. Subramaniam, OSD
6. Shri J. Vinod Kumar, Under Secretary